

# UTILITY CONTINUATION-IN-PART PATENT APPLICATION TRANSMITTAL

(For Continuation-in-Part applications under 37 CFR 1.101(b))

Attorney Docket No.: 4154-19

Inventors: Ian Redmond of 2065 Floral Drive, Boulder, Colorado 80304  
Bernard Bell of 9920 Phillips Road, Lafayette, Colorado 80026  
Curt Shuman of 7090 Switchback Trail, Colorado Springs, Colorado 80919

Express Mail Label No.: EL684861992US

Title: BEAMSHAPER FOR OPTICAL HEAD

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

This is a Continuation-in-Part application of pending prior application No. 09/540,657, filed March 31, 2000 (attorney file number 4154-9-CIP) which is a continuation-in-part of Patent Application Serial No. 09/457,104 (attorney file number 4154-9) filed December 7, 1999. The entire disclosures of the pending prior applications are considered to be part of the disclosure of the accompanying application and are hereby incorporated by reference.

Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☒ Applicant claims small entity status. See 37 CFR 1.27.
2. ☒ Specification (Total Pages of Text, including Abstract and Claims: 46)
3. ☒ Drawing(s) (35 USC 113) (Total Sheets: 15) ☐ FORMAL ☒ INFORMAL
4. ☒ Oath or Declaration (Total Pages: 4) ☒ Signed ☐ Unsigned
5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Attorney for applicants hereby asserts pursuant to 37 CFR § 1.821(f) that the content of the paper of computer readable copies of SEQ ID No:1 through SEQ ID No: submitted herewith are identical
7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 CFR 3.73(b) Statement (when there is an assignee)
9. ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS/PTO-1449)
12. ☐ Copies of IDS Citations (Number of References: )
13. ☐ Preliminary Amendment
14. ☒ Return Postcard (MPEP 503) (should be specifically itemized)
15. ☐ Certified copy of Priority Document(s)
16. ☒ A check in the amount of \$493.00 is enclosed.
17. ☐ Other:

"EXPRESS MAIL" MAILING LABEL NUMBER: EL684861992US  
DATE OF DEPOSIT: January 16, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Maisie C. Livengood

SIGNATURE: Maisie C. Livengood

**FEE CALCULATION:**

|  | (COL. 1)<br>NO. FILED |   |    | (COL. 2*)<br>NO. EXTRA | SMALL ENTITY |          |    | LARGE ENTITY |          |
|--|-----------------------|---|----|------------------------|--------------|----------|----|--------------|----------|
|  |                       |   |    |                        |              | RATE     |    | FEE          | RATE     |
| BASIC FEE:   |                       |   |    |                        |              | \$355.00 | OR |              | \$710.00 |
| TOTAL CLAIMS:  | 22                    | - | 20 | 2                      | X \$9 =      | \$18.00  | OR | X \$18 =     |          |
| INDEP. CLAIMS:   | 6                     | - | 3  | 3                      | X \$40 =     | \$120.00 | OR | X \$80 =     |          |
| MULTIPLE DEPENDENT CLAIMS  |                       |   |    |                        | + \$135 =    | \$0.00   | OR | +\$270 =     |          |
| *IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "O" IN COL. 2. |                       |   |    |                        | TOTAL:       | \$493.00 |    |              |          |

**OTHER INFORMATION:**

1. ☒ The Commissioner is hereby authorized to debit any underpayments or credit any overpayment to Deposit Account No. 19-1970.
2. ☒ The Commissioner is hereby authorized to charge all required fees for extensions of time under §1.17 to Deposit Account No. 19-1970.
3. ☐ Foreign Priority benefits are claimed under 35 USC §119 of Patent Application Serial No. filed
4. Correspondence Address:

Richard L. Hughes  
 SHERIDAN ROSS P.C.  
 1560 Broadway, Suite 1200  
 Denver, Colorado 80202-5141  
 Telephone: (303) 863-9700  
 Facsimile: (303) 863-0223

Respectfully submitted,

SHERIDAN ROSS P.C.

*Tejpal S. Hansra*

Date: JANUARY 16, 2001

Tejpal S. Hansra  
 Registration No. 38,172